



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

BIB DATA SHEET

CONFIRMATION NO. 9758

SERIAL NUMBER 10/677,838	FILING or 371(c) DATE 10/02/2003 RULE	CLASS 705	GROUP ART UNIT 3609	ATTORNEY DOCKET NO. 06878.116901		
APPLICANTS Emerson P. Jones, Greenwich, CT; Karen Schoen, New York, NY; ** CONTINUING DATA ***** This appln claims benefit of 60/415,611 10/02/2002 ** FOREIGN APPLICATIONS ***** ** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 12/30/2003						
Foreign Priority claimed ☐ Yes ☒ No 35 USC 119(a-d) conditions met ☐ Yes ☐ No Verified and /MOHAMMAD Z SHAIKH/ Acknowledged Examiner's Signature		☐ Met after Allowance Initials	STATE OR COUNTRY CT	SHEETS DRAWINGS	TOTAL CLAIMS 20	INDEPENDENT CLAIMS 2
ADDRESS GREENBERG TRAURIG, LLP MET LIFE BUILDING 200 PARK AVENUE NEW YORK, NY 10166 UNITED STATES						
TITLE Methods, systems and securities for assuring a company an opportunity to sell stock after a specified time						
FILING FEE RECEIVED 900	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:			☐ All Fees		
				☐ 1.16 Fees (Filing)		
				☐ 1.17 Fees (Processing Ext. of time)		
				☐ 1.18 Fees (Issue)		
				☐ Other _____		
			☐ Credit			